

REPORT DOCUMENTATION PAGE			Form Approved OMB No. 0704-0188	
Public reporting burden for this collection of information is estimated to average 1 hour per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to Washington Headquarters Services, Directorate for Information Operations and Reports, 1215 Jefferson Davis Highway, Suite 1204, Arlington, VA 22202-4302, and to the Office of Management and Budget, Paperwork Reduction Project (0704-0188), Washington, DC 20503.				
1. AGENCY USE ONLY (Leave blank)	2. REPORT DATE 6/1/92-5/31/96	3. REPORT TYPE AND DATES COVERED Final Report		
4. TITLE AND SUBTITLE Homeobox genes and patterning of the proximal-distal axis in regenerating limbs		5. FUNDING NUMBERS N00014-92-J-1967		
6. AUTHOR(S) Susan V. Bryant David M. Gardiner				
7. PERFORMING ORGANIZATION NAME(S) AND ADDRESS(ES) University of California, Irvine Department of Developmental and Cell Biology BioSci II Irvine CA 92697-2300		8. PERFORMING ORGANIZATION REPORT NUMBER		
9. SPONSORING/MONITORING AGENCY NAME(S) AND ADDRESS(ES) Office of Naval Research 800 North Quincy Street Arlington, VA 22217-5660		10. SPONSORING/MONITORING AGENCY REPORT NUMBER		
11. SUPPLEMENTARY NOTES		19970113 110		
12a. DISTRIBUTION/AVAILABILITY STATEMENT Distribution Unlimited		12b. DISTRIBUTION CODE		
<p>We have examined expression of HoxA genes, and of Msx-2 in developing and regenerating axolotl limbs, and in the developing lateral line system. We have found that Hox complex genes are regulated differently in limb development and regeneration. In development, Hoxa-9 and Hoxa-13 follow the rules of spatial and temporal colinearity seen in other developing limbs. However, in regeneration expression of both Hox genes occurs simultaneously and in the same physical location. The expression pattern is the same regardless of amputation level. Expression is initiated within a day of amputation, and the genes are expressed by the cells of the mature limb, days before these cells dedifferentiate to form a blastema. Spatially distinct domains of expression, identical to those in developing limbs, emerge during growth of the blastema. Factors from the wound epidermis may control reexpression of HoxA genes, and expression is affected in a position specific manner by retinoic acid. Finally, we have also studied the expression of Msx-2 in regenerating limbs and in the lateral line system. In regenerating limbs, Msx-2 is expressed prior to wound healing. In the lateral line system, Msx-2 is expressed continuously from placodal stages through to the mature neuromast.</p>				
14. SUBJECT TERMS Homeobox genes, limb regeneration, proximal-distal axis		15. NUMBER OF PAGES 4		
		16. PRICE CODE		
17. SECURITY CLASSIFICATION OF REPORT U	18. SECURITY CLASSIFICATION OF THIS PAGE U	19. SECURITY CLASSIFICATION OF ABSTRACT U	20. LIMITATION OF ABSTRACT UL	

GENERAL INSTRUCTIONS FOR COMPLETING SF 298

The Report Documentation Page (RDP) is used in announcing and cataloging reports. It is important that this information be consistent with the rest of the report, particularly the cover and title page. Instructions for filling in each block of the form follow. It is important to *stay within the lines* to meet *optical scanning requirements*.

Block 1. Agency Use Only (Leave blank).

Block 2. Report Date. Full publication date including day, month, and year, if available (e.g. 1 Jan 88). Must cite at least the year.

Block 3. Type of Report and Dates Covered. State whether report is interim, final, etc. If applicable, enter inclusive report dates (e.g. 10 Jun 87 - 30 Jun 88).

Block 4. Title and Subtitle. A title is taken from the part of the report that provides the most meaningful and complete information. When a report is prepared in more than one volume, repeat the primary title, add volume number, and include subtitle for the specific volume. On classified documents enter the title classification in parentheses.

Block 5. Funding Numbers. To include contract and grant numbers; may include program element number(s), project number(s), task number(s), and work unit number(s). Use the following labels:

C - Contract	PR - Project
G - Grant	TA - Task
PE - Program Element	WU - Work Unit Accession No.

Block 6. Author(s). Name(s) of person(s) responsible for writing the report, performing the research, or credited with the content of the report. If editor or compiler, this should follow the name(s).

Block 7. Performing Organization Name(s) and Address(es). Self-explanatory.

Block 8. Performing Organization Report Number. Enter the unique alphanumeric report number(s) assigned by the organization performing the report.

Block 9. Sponsoring/Monitoring Agency Name(s) and Address(es). Self-explanatory.

Block 10. Sponsoring/Monitoring Agency Report Number. (If known)

Block 11. Supplementary Notes. Enter information not included elsewhere such as: Prepared in cooperation with...; Trans. of...; To be published in.... When a report is revised, include a statement whether the new report supersedes or supplements the older report.

Block 12a. Distribution/Availability Statement.

Denotes public availability or limitations. Cite any availability to the public. Enter additional limitations or special markings in all capitals (e.g. NOFORN, REL, ITAR).

DOD - See DoDD 5230.24, "Distribution Statements on Technical Documents."

DOE - See authorities.

NASA - See Handbook NHB 2200.2.

NTIS - Leave blank.

Block 12b. Distribution Code.

DOD - Leave blank.

DOE - Enter DOE distribution categories from the Standard Distribution for Unclassified Scientific and Technical Reports.

NASA - Leave blank.

NTIS - Leave blank.

Block 13. Abstract. Include a brief (*Maximum 200 words*) factual summary of the most significant information contained in the report.

Block 14. Subject Terms. Keywords or phrases identifying major subjects in the report.

Block 15. Number of Pages. Enter the total number of pages.

Block 16. Price Code. Enter appropriate price code (*NTIS only*).

Blocks 17. - 19. Security Classifications. Self-explanatory. Enter U.S. Security Classification in accordance with U.S. Security Regulations (i.e., UNCLASSIFIED). If form contains classified information, stamp classification on the top and bottom of the page.

Block 20. Limitation of Abstract. This block must be completed to assign a limitation to the abstract. Enter either UL (unlimited) or SAR (same as report). An entry in this block is necessary if the abstract is to be limited. If blank, the abstract is assumed to be unlimited.

FINAL REPORT

Grant#: N00014-92-J-1967

R&T Code: 3414-218

PRINCIPAL INVESTIGATORS: Dr. S. V. Bryant & Dr. D. M. Gardiner

INSTITUTION: University of California, Irvine

GRANT TITLE: Homeobox genes and patterning of the proximal-distal axis in regenerating limbs

AWARD PERIOD: 1 June, 1992 - 31 May, 1996

OBJECTIVE: To investigate the roles of homeobox genes of the *HoxA* complex and *Msx-2* in patterning of the proximal-distal axis during limb regeneration in axolotls.

APPROACH: Axolotls are grown to about 6 cm, and then their forelimbs are removed to induce regeneration. Axolotls at different stages of development and regeneration are collected and analyzed by Northern blots, *in situ* hybridization, PCR, or immunohistochemistry. Effects of growth factors and retinoic acid (RA) are studied by implanting beads. The roles of the epidermis and nerves in gene expression are tested. Cell lines are established for use in functional analysis.

ACCOMPLISHMENTS: During this grant period we have perfected the technique of whole mount *in situ* hybridization for developing and regenerating axolotl limbs, and have compared the expression of two members of the *HoxA* complex: *HoxA13* and *HoxA9*. The surprising result of this study is that these genes are regulated differently in development and regeneration. In developing limbs the genes follow the rules of spatial and temporal colinearity previously described for developing mice and chickens. However, in regeneration, spatial and temporal colinearity are abrogated and expression of both *Hox* genes occurs simultaneously and in the same physical location. Further, the expression pattern is the same regardless of the position at which the limb was amputated. Another surprise was that expression is initiated within a day of amputation, and that the genes are expressed by the cells of the mature limb, days before these cells dedifferentiate to form a blastema. Expression of the *HoxA* genes continues to be co-localized through the early stages of blastema formation. Spatially distinct domains of expression emerge during growth of the blastema as *HoxA13* expression becomes confined to a distal subset of cells that also express *HoxA9*. At these later stages of regeneration, the expression patterns resemble those of developing limbs. We have begun to study factors that can alter the expression of these *Hox* genes. When the amputation surface is covered with full thickness skin, expression of both genes is suppressed, suggesting that factors from the wound epidermis may control reexpression of *HoxA* genes. When amputation is made through the base of the digits, expression is absent in all regions where the epidermis remains, lending support to the conclusion that the wound epidermis acts locally to control reexpression. Using a cell line we have established, we have found that more than a dozen *Hox* genes (including *HoxA13* and *A9*)

are expressed *in vitro*, and the expression of many is altered in response to components of the medium. Finally, we have found that axolotl *HoxA* genes show similar responses to RA to those described for teratocarcinoma cells: the most 5' genes (*HoxA13*) are inhibited by RA, whereas more 3' genes (*HoxA9*) are either unaffected or are upregulated. When the most 5' gene of the *HoxA* complex is inhibited, the *Hox* code of the affected cells is shifted to that of a more proximal limb level. This shift in *Hox* code is consistent with the biological effect of RA on regenerating limbs, which is to cause a shift in the positional identity of the affected cells to that of a more proximal level. This result also provides independent confirmation that *HoxA* genes are involved in specification of identity along the proximal-distal limb axis. Confirmation of this type is essential in this system where direct tests of function have not yet become technically feasible.

During the period of this award we have also studied the expression of *Msx-2* in both regenerating limbs and in the lateral line system. In regeneration, we have found that *Msx-2* is expressed very early, prior to wound healing, and its expression is common to both wound healing and regeneration. In the lateral line system, *Msx-2* is expressed continuously from the first detectable epithelial placode through to the mature neuromast. *Msx-2* expression in the lateral line is unique in being exclusively expressed in the epidermis, and in not being associated with epithelial-mesenchymal interactions. Another unique finding in neuromasts, is that *Msx-2* transcripts are localized in the cytoplasm of the support cells on the side closest to the sensory hair cells. The appearance of whole mount preparations suggests that *Msx-2* expression is most intense in cells that are differentiating into sensory cells, possibly as a result of injury followed by regeneration.

SIGNIFICANCE: Regeneration offers an opportunity to look at gene regulation from a unique perspective, one in which genes are reactivated in the mature animal, rather than as part of a developmental cascade that begins at fertilization. Although we are just at the beginning of a molecular understanding of regeneration, it is already clear that regeneration is similar in broad details to development, and that the same genes are involved in both processes. However, the way in which expression of these genes is controlled is different, at least in the case of the *Hox* complex genes we have examined so far, and in all likelihood for other genes too. Herein may lie the key to making regeneration a therapeutic possibility; we need to understand these alternative gene control strategies in order to be able to initiate regeneration in higher vertebrates including humans. It will also be important to understand the regulation of those genes that are at the head of the regeneration cascade, because it is possible that if these genes could be activated, then regeneration could be induced in humans.

PUBLICATIONS AND ABSTRACTS (whole grant period):

1. Gardiner, D.M., Blumberg, B., and Bryant, S.V. (1993). Expression of homeobox genes in limb regeneration. In: "Limb Development and Regeneration" J. F. Fallon et al, eds. John Wiley and Sons Inc, New York. pp. 31-40.
2. Gardiner, D. M., Blumberg, B., Komine, Y. and Bryant, S. V. (1995). Regulation of *HoxA* expression in developing and regenerating axolotl limbs. Development, 121, 1731-1741
3. Gardiner, D.M. and Bryant, S.V. (1996). Molecular mechanisms in the control of limb regeneration: the role of homeobox genes. Intl. J. Devel. Biol., 40: 797-805.
4. Mescher, B. D. (1996) Expression of homeobox genes in the axolotl lateral line system. Ph.D. Dissertation, University of California, Irvine.
5. Gardiner, D.M. and Bryant, S.V. (1997). The Tetrapod Limb. In "Cellular and Molecular Basis of Regeneration: from invertebrates to humans", Ferretti, P. and Geraudie, J. (eds). John Wiley & Sons, Ltd. Chichester (in press).
6. Bryant, S.V. and Gardiner, D.M. (1997). Control of growth and pattern formation during limb regeneration. Trends in Genetics (in preparation).
7. Komine, Y., Gardiner, D. M. and Bryant, S. V. (199-). The expression of *HoxC* genes in limb and tail development and regeneration in axolotls. (in preparation).
8. Carlson, M.R.J., Bryant S.V. and Gardiner, D.M. (199-). *Msx* gene expression in developing and regenerating axolotl limbs. (in preparation).
9. Mescher, B., Northcutt, G., Gardiner, D. M. and Bryant, S. V. (199-). Homeobox genes in lateral line morphogenesis (in preparation).
10. Bryant, S. V. and Gardiner, D. M. (1994). Molecular aspects of pattern formation in regenerating limbs. Proc. 8th Int. Conf. of ISD, 151-154.

ABSTRACTS

11. Gardiner, D. M., Komine, Y., Mullen, L. and Bryant, S. V. (1993). Molecular approaches to limb regeneration and development in axolotls. International Workshop, Molecular Biology of Axolotls and other Urodeles, Indianapolis, IN.
12. Gardiner, D. M., Blumberg, B., Komine, Y. and Bryant, S. V. (1994). Regulation of *HoxA13* expression during limb regeneration in the axolotl. Molec. Bio Cell., Suppl., 5, 231a.

13. Komine, Y, Gardiner, D. M. and Bryant, S. V. (1994). Expression of *HoxC* genes during appendage regeneration in axolotl. Proc. 17th Ann. Meeting of the Molecular Biology Society of Japan. Kobe, Japan.
14. Bryant, S. V. and Gardiner, D. M. (1995). Molecular aspects of pattern formation in limb regeneration. Proc. Int. Symp: Wound Healing and Tissue Regeneration, 32-33.
15. Gardiner, D. M., Mullen, L., Torok, M. A., M. R. J. Carlson and Bryant, S. V. (1995). Regulation of axolotl limb regeneration: The role of the wound epidermis, nerves and FGF. Molec. Bio Cell., Suppl., 6, 205a.
16. Carlson, M. R. J., Gardiner, D. M., and Bryant, S. V. (1995). *Msx* genes and limb regeneration in the axolotl. Dev. Bio. 170, 763.
17. Mescher, B., Mullen, L., Gardiner, D. M. and Bryant, S. V. (1995). Differential expression of homeobox genes in axolotl lateral line organs. Dev. Bio. 170, 752.
18. Gardiner, D. M., Mullen, L., Torok, M. A., M. R. J. Carlson, E. V. Yang and Bryant, S. V. (1996). The role of the epidermis, nerves and FGF on the expression of key genes in the regeneration cascade. 5th Int. Conf. Limb Dev & Regen., P7.
19. Bryant, S. V. and Gardiner, D. M. (1996). Hox genes, growth factors and nerves in regenerating axolotl limbs. Dev. Bio. 175, 374.